

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mitsugi Nomiya, Hiroyasu Taguchi, Kazuyoshi Nakajima, et. al.

Serial No:

10/566,545

Filed:

01/26/2006

Title:

DYNAMIC TRAFFIC CONTROL METHOD AND...

Art Unit:

2617

July 7, 2006

Mail Stop: Missing Parts

**USPTO** 

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### REQUEST FOR CORRECTED FILING RECEIPT

SIR:

We received the filing receipt on the above-referenced case dated June 13, 2006, copy enclosed, wherein the ATTY. DOCKET NO was incorrectly listed as FUIJI 22.367 (100794-01038). Please change the same to read FUJI 22.367 (100794-01038).

In addition, the APPLICANT'S RESIDENCE were all incorrectly listed as KANAGAWA, JAPAN. Please change the same to read (1) Mitsugi Nomiya, KAWASAKI, JAPAN; (2) Hiroyasu Taguchi, KAWASAKI, JAPAN; (3) Kazuyoshi Nakajima, KAWASAKI, JAPAN; (4) Miya Inami, KAWASAKI, JAPAN; (5) Yayoi Itoh, KAWASAKI, JAPAN; (6) Kiyomi Hasesaka, KAWASAKI, JAPAN; and (7) Hiroyuki Sasai, YOKOHAMA, JAPAN.

Also the TITLE was incorrectly listed as: DYNAMIC TRAFFIC CONTROL
METHOD AND CONTROLLER. Please change the same to read: DYNAMIC TRAFFIC
CONTROL METHOD AND DEVICE FOR THE SAME.

Any fee, due as a result of this paper may be charged on Deposit Account No. 50-1290.

This was due to an error made by the USPTO.

Respectfully symitted,

Dexter T. Chang

Reg. No. 44,071

Katten Muchin Rosenman LLP 575 Madison Avenue New York, N.Y. 10022-2585 Tel. (212) 940-8800 Fax. (212) 940-8987

Docket No: FUJI 22.367 (100794-01038)

By: Cheryl Blum Legal Assistant

NYC01\_84146798\_1

# **Declaration and Power of Attorney for Patent Application**

# 特許出願宣言書及び委任状

## Japanese Language Declaration 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する:	As a below named inventor, I hereby declare that
私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。	My residence, post office address and citizenship are as stated next to my name.
下記の名称の発明について、特許請求範囲に記載され、且つ特許が 求められている発明主題に関して、私は、最初、最先且つ唯一の発明 者である(唯一の氏名が記載されている場合)か、或いは最初、最先 且つ共同発明者である(複数の氏名が記載されている場合)と信じて いる。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
	DYNAMIC TRAFFIC CONTROL METHOD AND DEVICE FOR THE SAME
上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない:	the specification of which is attached hereto unless the following box is checked:
□	was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).
私は、上記の補正書によって補正された、特許請求範囲を含む上記 明細書を検討し、且つ内容を理解していることをここに表明する。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編規則1.56に定義されている、特許 性について重要な情報を開示する義務があることを認める。	I acknowledge the duty to disclose information which is material to



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/566,545	01/26/2006	2617	1200	FUIJI22.367 (100794-01038	26	20	4

CONFIRMATION NO. 5849

026304 KATTEN MUCHIN ROSENMAN LLP 575 MADISON AVENUE NEW YORK, NY 10022-2585

JUN 2 1 2006

FILING RECEIPT

\*OC000000019225224\*

Date Mailed: 06/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kawasaki

Mitsugi Nomiya, K<del>anagawa,</del> JAPAN; Hiroyasu Taguchi, <del>Kanagawa</del>, JAPAN; *Fawasaki* Kazuyoshi Nakajima, <del>Kanagawa</del>, JAPAN; *Icawasaki* Miya Inami, <del>Kanagawa</del>, JAPAN; *Icawasaki* Yayoi Itoh, <del>Kanagawa</del>, JAPAN; *Icawasaki* Kiyomi Hasesaka, <del>Kanagawa</del>, JAPAN; *Icawasaki* Hiroyuki Sasai, <del>Kanagawa</del>, JAPAN; *Yolcohama* 

Power of Attorney: The patent practitioners associated with Customer Number 026304.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11123 08/29/2003

Foreign Applications

If Required, Foreign Filing License Granted: 06/10/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/566,545

**Projected Publication Date: 09/21/2006** 

Non-Publication Request: No

Early Publication Request: No

Title

Dynamic traffic control method and controller.

device for the same

**Preliminary Class** 

455

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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# JUL 1 0 2006

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TRANSM	IITTAL LETI	ER TO THE UN	NITED STATES
DESIG	NATED/ELE	CTED OFFICE	(DO/EO/US)
ONCERN	IING A SUB	MISSION UNDE	R 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER FUJI 22.367 (100794-01038)

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

	TOLINING A CODMISSION ONDER 35 0.5.C. 37 1	
PCT/Y	2003/011123 29 August 2003 (29.08.03)	PRIORITY DATE CLAIMED
	INVENTION Traffic Control Method and Device for the Same	
	NT(S) FOR DO/EO/US YA, Mitsugi	
Applica	t herewith submits to the United States Designated/Elected Office (DO/EC	O/US) the following items and other information:
1.	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371	
2. 🔲	This is a SECOND or SUBSEQUENT submission of items concerning a submission	n under 35 U.S.C. 371.
3.	This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	1(f)). The submission must include items
4.	The US has been elected (Article 31).	
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	a.  is attached hereto (required only if not communicated by the Internation	al Bureau).
	b. X has been communicated by the International Bureau.	
	c.  is not required, as the application was filed in the United States Receiving	ng Office (RO/US).
6.	An English language translation of the International Application as filed (35 U.S.C	. 371(c)(2)).
	a. is attached hereto.	
· <u>·</u> ·	b. has been previously submitted under 35 U.S.C. 154(d)(4).	
7.	Amendments to the claims of the International Application under PCT Article 19 (	35 U.S.C. 371(c)(3))
	a. are attached hereto (required only if not communicated by the Internat	ional Bureau).
	b. have been communicated by the International Bureau.	
	c. have not been made; however, the time limit for making such amendm	ents has NOT expired.
	d. An have not been made and will not be made.	
8.	An English language translation of the amendments to the claims under PCT Arti	de 19 (35 U.S.C. 371(c)(3)).
9. 🗶	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	An English language translation of the annexes of the International Preliminary Ex Article 36 (35 U.S.C. 371(c)(5)).	camination Report under PCT
Items	11 to 20 below concern document(s) or information included:	
11. 💢	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12. 🗶	An assignment document for recording. A separate cover sheet in compliance with	h 37 CFR 3.28 and 3.31 is included.
13.	A preliminary amendment.	•
14.	An Application Data Sheet under 37 CFR 1.76.	
15.	A substitute specification.	
16. 🔲 ု	A power of attorney and/or change of address letter.	
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13	Ster.2 and 37 CFR 1.821- 1.825.
8.	A second copy of the published International Application under 35 U.S.C. 154(d)(4	
9. 🗀	A second copy of the English language translation of the international application of	•
0. 🔲	Other items or information:	
s collection	of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to o	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT.

Filed by Express Mail

Page 1 of 2/Reservit No. FV47R587753115)

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1290.

Page 1 of 2 (Receipt No. EV478587253US) on January 26, 2006 pursuant to 37 G.F.R. 1.10.

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2003/011123 **FUJI 22.367 (100794-01038)** The following fees have been submitted CALCULATIONS PTO USE ONLY 21. Basic national fee......\$300 300.00 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 200.00 PCT Article 33(1)-(4)..... Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 500.00 \$ 1,000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 60 - 100 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED **NUMBER EXTRA** RATE Total claims 20 - 20 = x \$50 Independent claims \$200 s 200.00 х MULTIPLE DEPENDENT CLAIM(S) (if applicable) **TOTAL OF ABOVE CALCULATIONS = \$ 1,200.00** Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 1,200.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$ 1,200.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 40.00 TOTAL FEES ENCLOSED = \$ 1,240.00 Amount to be \$ refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-1290 in the amount of \$1,240.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50-1290 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **CUSTOMER NUMBER 026304** KATTEN MUCHIN ZAVIS ROSENMAN **Dexter Chang** 575 Madison Avenue NAME New York, New York 10022-2585 44,071 (212) 940-8800 / fax (212) 940-8986 REGISTRATION NUMBER

### Japanese Language Declaration

(日本語宣言書)

I hereby claim foreign priority under Title 35, United States Code,

Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application

私は、ここに、以下に記載した外国での特許出願または発明者証の 出願、或いは米国以外の少なくとも一国を指定している米国法典第3 5編第365条(a)によるPCT国際出願について、同第119条(a) -(d)項又は第365条(b)項に基づいて優先権を主張するとともに、 優先権を主張する本出願の出願日よりも前の出願日を有する外国での 特許出願または発明者証の出願、或いはPCT国際出願については、

which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT いかなる出願も、下記の枠内をチェックすることにより示した。 International application having a filing date before that of the application for which priority is claimed. Prior Foreign Application(s) **Priority Not Claimed** 外国での先行出顔 優先権主張なし (Number) (Country) (Day/Month/Year Filed) (番号) (出願日/月/年) (国名) (Number) (Country) (Day/Month/Year Filed) (番号) (国名) (出願日/月/年) 私は、ここに、下記のいかなる米国仮特許出願についても、その米 I hereby claim the benefit under Title 35, United States Code, Section 国法典第35編119条(e)項の利益を主張する。 119(e) of any United States provisional application(s) listed below. (Application No.) (Filing Date) (Application No.) (Filing Date) (出願番号) (出願日) (出願番号) (出願日) 私は、ここに、下記のいかなる米国出願についても、その米国法 I hereby claim the benefit under Title 35, United States Code, Section 典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this 35編第112条第1段に規定された態様で、先行する米国出願又は PCT国際出願に開示されていない場合においては、その先行出願の application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph 出頭日と本国内出頭日またはPCT国際出頭日との間の期間中に入手 of Title 35, United States Code Section 112, I acknowledge the duty された情報で、連邦規則法典第37編規則1.56に定義された特許 to disclose information which is material to patentability as defined in 性に関わる重要な情報について開示義務があることを承認する。 Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application. PCT/JP2003/011123 Pending 29/August/2003 (Application No.) (Filing Date) (Status: Patented, Pending, Abandoned) (出願番号) (出願日) (現況: 特許許可、係属中、放棄) (Application No.) (Filing Date) (Status: Patented, Pending, Abandoned) (出願番号) (出願日) (現況: 特許許可、係属中、放棄) I hereby declare that all statements made herein of my own ここに表明された私自身の知識に係わる陳述が真実であり 且つ情報と信ずることに基づく陳述が、真実であると信じられることを宜言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典 knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such ずることを理解した上で陳述が行われたことを、ここに宜言する。 willful false statements may jeopardize the validity of the application

or any patent issued thereon.

#### Japanese Language Declaration (日本語宣言書) 委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁と の全ての業務を遂行するために、記名された発明者として、下記の弁 POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this 護士及び/または弁理士を任命する。(氏名及び登録番号を記載する application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number). Registered Practitioners associated with Customer Number 26304 **杏**類送付先 Send Correspondence to: Customer Number 26304 KMZ Rosenman IP Department 575 Madison Avenue New York, NY 10022-2585 直通電話連絡先: (氏名及び電話番号) Direct Telephone Calls to: (name and telephone number) Customer Number 26304 the responsible attorney, or to Samson Helfgott at (212) 940-8800 唯一または第一発明者氏名 Full name of sole or first inventor Mitsugi NOMIYA 発明者の署名 日付 Inventor's signature Date Mitsugi Jan 16, 2006 住所 Residence Kawasaki, Japan 国籍 Citizenship Japan 郵便の宛先 Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan 第二共同発明者がいる場合、その氏名 Full name of second joint inventor, if any Hiroyasu TAGUCHI 第二共同発明者の署名 日付 Second inventor's signature Date lfiroyasu Jan. 16,2006 住所 Residence Kawasaki, Japan 国籍 Citizenship Japan 郵便の宛先 Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome. Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan

(第三以下の共同発明者についても同様に記載し、署名を すること) (Supply similar information and signature for third and subsequent joint inventors)

第三共同発明者がいる場合、その氏名		E.B	
•		Full name of third joint inventor, if any Kazuyoshi NAKAJIMA	
第三共同発明者の署名	日付	Third inventor's signature Date	
		<u>Kazuuashi Nakajima</u> Jan 16,2006	
住所		Residence Kawasaki, Japan	
国籍		Citizenship Japan	
野便の宛先		Post Office Address c/o FUJITSU LIMITED, 1−1, Kamikodanaka 4−chome,	
		Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan	
第四共同発明者がいる場合、その氏名		Full name of fourth joint inventor, if any Miya INAMI	
第四共同発明者の署名	日付	Fourth inventor's signature Date	
		Miya Inami Jan. (6,2006	
住所		Residence Kawasaki, Japan	
国籍		Citizenship Japan	
郵便の宛先		Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome,	
	·	Nakahara-ku, Kawasaki–shi, Kanagawa 211-8588 Japan	
第五共同発明者がいる場合、その氏名		Full name of fifth joint inventor, if any Yayoi ITOH	
第五共同発明者の署名	日付	Fifth inventor's signature Date	
住所		Residence Kawasaki, Japan	
国籍	***************************************	Citizenship Japan	
郵便の宛先			
		Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome,	
•		Nakahara-ku, Kawasaki-shi, Kanagawa 211–8588 Japan	
第六共同発明者がいる場合、その氏名		Full name of sixth joint inventor, if any Kiyomi HASESAKA	
第六共同発明者の署名	日付	Sixth inventor's signature Date	
生所		Residence Hazzieles Jan 17.200 to Kawasaki, Japan	
国籍		Citizenship Japan	
<b>箏便の宛先</b>		Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome,	
		Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan	

第七共同発明者がいる場合、その氏名 		Full name of seventh joint inventor, if any Hiroyuki SASAI	
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